



Filing ID #10025324

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Anthony Brindisi  
**Status:** Congressional Candidate  
**State/District:** NY22

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2018  
**Filing Date:** 03/13/2019  
**Period Covered:** 01/01/2017– 05/15/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
American Capital Income Builder [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
American Capital Worth Growth Inc. [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
American EuroPac Growth [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
American Growth Fund of America [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Americu Credit Union [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
Bank of Utica [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$201 - \$1,000
Bank of Utica [BA]	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Bank of Utica [BA]	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Bank of Utica [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Brindisi, Murad, Brindisi & Pearlman, LLP [OL]  LOCATION: Utica / Oneida, NY, US DESCRIPTION: Law firm		\$50,001 - \$100,000	Partnership Income	\$50,001 - \$100,000	\$50,001 - \$100,000
CGM Focus Fund [IP]	SP	\$1,001 - \$15,000	Tax-Deferred		
Fidelity Govt MMKT Capital Reserves [MF]		\$1,001 - \$15,000	None		
Gap, Inc. (GPS) [ST]		\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
General Electric Company (GE) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Mass Mutual 401k ⇒ Am. Funds EuroPac Growth [MF]		\$1,001 - \$15,000	Tax-Deferred		
Mass Mutual 401k ⇒ Fidelity Advisor Levrgd [MF]		\$1,001 - \$15,000	Tax-Deferred		
Mass Mutual 401k ⇒ Hartford Small Co. HLS [MF]		\$1,001 - \$15,000	Tax-Deferred		
Mass Mutual 401k ⇒ Mass Mutual Fixed Account [BA]		\$15,001 - \$50,000	Tax-Deferred		
Mass Mutual 401k ⇒ T. Rowe Price Group, Inc. (TROW) [ST]		\$15,001 - \$50,000	Tax-Deferred		
New York State Pension [PE]		Undetermined	None		
New York State Teachers' Retirement System [PE]	SP	Undetermined	None		
NY Life Insurance Company [WU]		\$15,001 - \$50,000	None		
NYS 529 Conservative Age Based Option Plan [5P]  LOCATION: NY	DC	\$50,001 - \$100,000	Tax-Deferred		
NYS 529 Conservative Age Based Option Plan [5P]  LOCATION: NY	DC	\$50,001 - \$100,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Procter & Gamble Company (PG) [ST]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000
SP Prudential Variable Life Policy ⇒ Fixed Rate Option [BA]	SP	\$1,001 - \$15,000	None		
SP Prudential Variable Life Policy ⇒ PSF Govt Money Market [BA]	SP	\$1 - \$1,000	None		
Walt Disney Company (DIS) [ST]		\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Brindisi, Murad, Brindisi & Pearlman LLP	Salary	\$19,615.38	\$51,000.00
New York State Assembly	Salary	\$30,576.92	\$79,500.00
Mohawk Valley Community College	Spouse Salary	N/A	N/A

## SCHEDULE D: LIABILITIES

None disclosed.

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
November 2011	Anthony Brindisi and New York State Employee Retirement System	NYS Pension
January 2005	Erica Brindisi and New York State Teachers Retirement System	New York State Teacher's Retirement System

## SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

## SCHEDULE A ASSET CLASS DETAILS

- Mass Mutual 401k
- SP Prudential Variable Life Policy (Owner: SP)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Anthony Brindisi , 03/13/2019